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JC687 U.S. PTO

2-14-02 A

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

<i>Application Number</i>	
<i>First Inventor</i>	Feng-Jing Chen
<i>Title</i>	Pharmaceutical Formulations and Systems for Improved Absorption and Multistage Release of Active Agents
<i>Attorney Docket Number</i>	6200-0004.20

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- 1. ■ Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
- 2. ■ Applicant claims small entity status.  
See 37 CFR 1.27.
- 3. ■ Specification [Total Pages 149]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- 4. ■ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
- 5. ■ Oath or Declaration [Total Pages 4 unsigned]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17 completed)*
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- 6.  Application Data Sheet. See 37 CFR 1.76

- 7.  CD-ROM or CD-R in duplicate, large table Computer Program (*Appendix*)
- 8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable*)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- 9.  Assignment Papers (cover sheet & document(s))
- 10.  37 CFR 3.73(b) Statement  Power of Attorney (*when there is an assignee*)
- 11.  English Translation Document (*if applicable*)
- 12. ■ Information Disclosure  Copies of IDS Statement (IDS)/PTO-1449 Citations
- 13.  Preliminary Amendment
- 14. ■ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
- 15.  Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
- 16.  Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation       Divisional       Continuation-in-part (CIP) of prior application No.: U.S. Serial No. 09/898,553 filed July 20, 2001, which is a continuation of U.S. Serial No. 09/258,654 filed Feb. 26, 1999 which issued as U.S. Patent No. 6,294,192 which issued on Sept. 25, 2001. This is also a CIP of U.S. Serial No. 09/877,541 filed June 8, 2001, which is a CIP of U.S. Serial No. 09/345,615 filed June 30, 1999 which issued as U.S. Patent No. 6,297,985 on July 31, 2001. This application is also a CIP of U.S. Serial No. 09/800,593 filed March 6, 2001, which is a divisional of U.S. Serial No. 09/447,690 filed Nov. 23, 1999 and issued as U.S. Patent No. 6,248,363 on June 19, 2001.

Prior application information: Examiner: L. Channavajala Group / Art Unit: 1615  
 This application claims priority to Provisional Application Serial No. \*, filed \*.

**For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**

#### 18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number of Bar Code Label		23980		or <input type="checkbox"/> Correspondence address below  (Insert Customer No. or Attach bar code label here)	
Name	Dianne E. Reed				
	REED & ASSOCIATES				
Address	800 Menlo Avenue, Suite 210				
City	Menlo Park	State	CA	Zip Code	94025
Country	USA	Telephone	(650) 330-0900	Fax	(650) 330-0980
Name (Print/Type)	Dianne E. Reed	Registration No. (Attorney/Agent)	31,292		
Signature	Dianne Reed	Date	2/11/02		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commission for Patents, Box Patent Application, Washington, DC 20231.

#### CERTIFICATE OF MAILING OR TRANSMISSION

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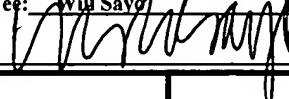
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(Modified) PTO/SB/05 (12/97)

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Date of Deposit: February 11, 2002

Type/Printed Name of Person Mailing Paper or Fee: Will Sayo

Signature of Person Mailing Paper or Fee: 

**Complete if Known**

<b>FEE TRANSMITTAL</b>							
		Application Number					
		Filing Date					
		First Named Inventor					
		Group Art Unit					
		Examiner Name					
<b>TOTAL AMOUNT OF PAYMENT</b>		(\$) <u>1653</u>					
<b>METHOD OF PAYMENT</b> (check one)		<b>FEE CALCULATION (continued)</b>					
<input checked="" type="checkbox"/> The commissioner is hereby authorized to charge any additional fees and credit any overpayments to: Deposit Account No.: Deposit Account Name: REED & ASSOCIATES		<b>3. ADDITIONAL FEES</b>					
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance		Larg e Fee Code	Entit y Fee Fee Code	Small Entity Fee Fee Code	Entity Fee (\$)	Fee Description	Fee Due
		105	130	205	65	Surcharge - late filing fee or oath	
		127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
2. Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		139	130	139	130	Non-English specification	
		147	2,520	147	2,520	For filing a request for reexamination	
		112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
		113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
		115	110	215	55	Extension for reply within first month	
		116	400	216	200	Extension for reply within second month	
		117	920	217	460	Extension for reply within third month	
		118	1,440	218	720	Extension for reply within fourth month	
		128	1,960	228	980	Extension for reply within fifth month	
		119	320	219	160	Notice of Appeal	
		120	320	220	160	Filing a brief in support of an appeal	
		121	280	221	140	Request for oral hearing	
		138	1,510	138	1,510	Petition to institute a public use proceeding	
		140	110	240	55	Petition to revive - unavoidable	
		141	1,280	241	640	Petition to revive - unintentional	
		142	1,280	242	640	Utility issue fee (or reissue)	
		143	460	243	230	Design issue fee	
		144	620	244	310	Plant issue fee	
		122	130	122	130	Petitions to the Commissioner	
		123	50	123	50	Petitions related to provisional applications	
		126	240	126	180	Submissions of Information Disclosure Stmt	
		581	40	581	40	Recording each patent assignment per property (times number of properties)	
		146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
		149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
		Other fee (specify)					
		Other fee (specify)					
		<b>SUBTOTAL (2)</b> <u>\$1283</u>				<b>SUBTOTAL (3)</b> <u>\$</u>	
*Reduced by Basic Filing Fee Paid							

**SUBMITTED BY**

Complete (if applicable)

Typed or Printed Name	Dianne E. Reed			Reg. Number	31,292
Signature	Dianne Reed	Date	2/11/02	Deposit Account	